



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/695,900	10/25/2000	JON DAKSS	WMI-004CPI (8415/5)

21323  
TESTA, HURWITZ & THIBEAULT, LLP  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110

## FORMALITIES LETTER



\*OC000000005606534\*

Date Mailed: 12/08/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$36.
  - \$36 for 2 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 876.

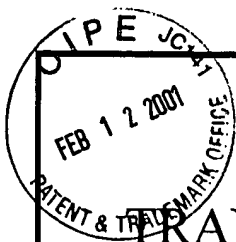
*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/15/2001 BNGUYEN1 00000014 09695900  
65.00 DP  
355.00 DP  
18.00 DP  
01 FC:205  
02 FC:201  
03 FC:203



# TRANSMITTAL FORM

Application Serial Number	09/695,900
Filing Date	October 25, 2000
First Named Inventor	Katcher, et al.
Group Art Unit	2614
Examiner Name	Not yet assigned
Attorney Docket No.	WMI-004CP1
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] <input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application (5 pages) <input checked="" type="checkbox"/> Small Entity Statement (1 page) <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) x Response to Notice to File Missing Parts
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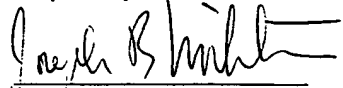
## CORRESPONDENCE ADDRESS


Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

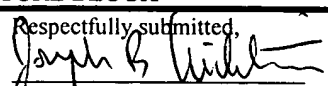
## SIGNATURE BLOCK

Date: February 7, 2001  
Reg. No. 42,897  
Tel. No.: (617) 248-7695  
Fax No.: (617) 248-7100

Respectfully submitted,

  
Joseph B. Milstein  
Atty/Agent for Applicant(s)  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110

 <h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">FY 2001</h3>	Complete if Known	
	Application Serial Number	09/695,900
	Filing Date	October 25, 2000
	First Named Inventor	Katcher, et al.
	Group Art Unit	2614
	Examiner Name	Not yet assigned
Attorney Docket No.	WMI-004CP1	

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																														
<p>1. <input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check   <input type="checkbox"/> Money Order   <input type="checkbox"/> Other</p> <p>2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.  <input type="checkbox"/> Required Fees (copy of this sheet enclosed).  <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17.  <input checked="" type="checkbox"/> Overpayment Credit.</p> <p>3. <input checked="" type="checkbox"/> Applicant claims small entity status.</p>	<p>3. ADDITIONAL FEES</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Large Entity Fee (\$)</th> <th style="width: 15%;">Small Entity Fee (\$)</th> <th style="width: 50%;">Fee Description</th> <th style="width: 20%;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>130</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td>65</td> </tr> <tr> <td>50</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>130</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>2,520</td> <td>2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>390</td> <td>195</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>890</td> <td>445</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1,390</td> <td>695</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1,890</td> <td>945</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>310</td> <td>155</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>310</td> <td>155</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>270</td> <td>135</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>130</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>50</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>180</td> <td>180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>710</td> <td>355</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>710</td> <td>355</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td colspan="2">Other fee (Specify) _____</td> <td></td> <td></td> </tr> <tr> <td colspan="2">Other fee (Specify) _____</td> <td></td> <td></td> </tr> <tr> <td colspan="2" style="text-align: right;"><b>SUBTOTAL (3)</b></td> <td></td> <td><b>(\$)</b> 65</td> </tr> </tbody> </table>	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge - late filing fee or oath	65	50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	For filing a request for reexamination		110	55	Extension for reply within first month		390	195	Extension for reply within second month		890	445	Extension for reply within third month		1,390	695	Extension for reply within fourth month		1,890	945	Extension for reply within fifth month		310	155	Notice of Appeal		310	155	Filing a brief in support of an appeal		270	135	Request for oral hearing		130	130	Petitions to the Commissioner		50	50	Petitions related to provisional applications		180	180	Submission of Information Disclosure Statement		710	355	Filing a submission after final rejection (37 CFR 1.129(a))		710	355	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (Specify) _____				Other fee (Specify) _____				<b>SUBTOTAL (3)</b>			<b>(\$)</b> 65										
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CORRESPONDENCE ADDRESS	SIGNATURE BLOCK																																																																																														
<p>Direct all correspondence to:</p> <p style="margin-left: 40px;">Patent Administrator  Testa, Hurwitz &amp; Thibault, LLP  High Street Tower-125 High Street  Boston, MA 02110  Tel. No.: (617) 248-7000  Fax No.: (617) 248-7100</p>	<p style="text-align: center;">Respectfully submitted,</p> <p style="text-align: center;">  Joseph B. Milstein  Attorney for the Applicants  Testa, Hurwitz &amp; Thibault, LLP  High Street Tower-125 High Street  Boston, MA 02110</p> <p>Date: February 7, 2001  Reg. No.: 42,897  Tel. No.: (617) 248-7695  Fax No.: (617) 248-7100</p>																																																																																														



✓ SECTOR 2

PATENT  
Attorney Docket No. WMI-004CP1  
(8415/5)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Katcher et al.  
SERIAL NO.: 09/695,900 GROUP NO.: 2614  
FILING DATE: October 25, 2000 EXAMINER: Not yet assigned  
TITLE: A Method And Apparatus For Interaction With Hyperlinks In A  
Television Broadcast

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Box Missing Parts

Sir:

In response to the Notice to File Missing Parts mailed December 8, 2000, enclosed is an executed Declaration and Power of Attorney and a check in the amount of \$438.00 in payment of surcharge for a small entity in compliance with 37 C.F.R. 1.27.

Please charge Deposit Account No. 20-0531 for any additional fees that may be due in this matter.

Respectfully submitted,

Joseph B. Milstein  
Attorney/Agent for Applicants  
Testa, Hurwitz, & Thibault  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

Date: February 7, 2001  
Reg. No. 42,897

Tel. No. (617) 248-7695  
Fax: (617) 248-7100

MILSTEIN\8415\5.2024675\_1



PATENT  
Attorney Docket No. WMI-004CP1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Katcher, et al.  
SERIAL NO.: 09/695,900 GROUP NO.: 2614  
FILING DATE: October 25, 2000 EXAMINER: Not yet assigned  
TITLE: A Method and Apparatus for Interaction with Hyperlinks in a Television Broadcast.

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 Box Missing Parts on this 7th day of February, 2001.

  
Kerri Guglietta

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box Missing Parts

Sir:

Submitted herewith are:

Transmittal Form (1 page);  
Fee Transmittal (1 page);  
Check in the amount of \$438.00  
Executed Declaration and Power of Attorney (5 pages);  
Executed small Entity Statement (1 page);  
Response to Notice to File Missing Parts (1 page);  
Copy if Notice to File Missing Parts of Application (1 page); and  
A return receipt postcard.

MILSTEIN\8415\5.2024545\_1

**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c))-- SMALL BUSINESS  
CONCERN**

Attorney Docket No. **WMI-004CP1**

Applicant, Patentee, or  
Identifier: **Katcher et al.**

Application or Patent No.:

Filed or Issued:

Title:

**October 25, 2000**

**A METHOD AND APPARATUS FOR INTERACTION WITH  
HYPERLINKS IN A TELEVISION BROADCAST**



I hereby state that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: WatchPoint Media, Inc.

ADDRESS OF SMALL BUSINESS CONCERN: 129 South Street, Boston, MA 02111

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.9(d) and 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement; (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- ☒ No such person, concern, or organization having any rights in the invention exists.  
☐ Each such person, concern, or organization having any rights in the invention is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

NAME OF PERSON SIGNING Jon Dakss

TITLE OF PERSON IF OTHER THAN OWNER VP of Technology

ADDRESS OF PERSON SIGNING 129 South Street, Boston, MA 02111

SIGNATURE

A handwritten signature in black ink, appearing to read "Jon Dakss", written over a horizontal line.

DATE

11/17/00